

02-28-02



UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))



Attorney Docket No. : 1150-102.US

First Inventor or Application Identifier : Monita LIU

Title : "Electrically Illuminated Flame Simulator"

Express Mail Label No. : ET002270147US

ADDRESS TO: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ *Fee transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
3. ☒ Specification of 30 pages
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) Total sheets: 7
5. ☒ Oath or Declaration and Power of Attorney Total pages: 2
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ Deletion of inventor(s)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b)
6. ☒ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- | | |
|---|--|
| 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) | |
| 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) | <input type="checkbox"/> Power of Attorney |
| 11. <input type="checkbox"/> English Translation Document (if applicable) | |
| 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 | <input type="checkbox"/> Copies of IDS citations |

UTILITY PATENT APPLICATION TRANSMITTAL, continued

13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16. ☐ Request an Certification under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☒ Other: Check

18. ☐ If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ continuation ☐ divisional ☐ continuation-in-part (CIP)

of prior application No.:

Prior application information: Examiner: Group/Art Unit:

FOR CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number 23390

☒ Correspondence address below

Name: Colin P. Abrahams
Address: 5850 Canoga Avenue, Suite 400
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Name: Colin P. Abrahams

Registration No. (Attorney/Agent) 32,393

Signature: 

Date: February 27, 2002

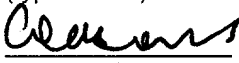
Certificate of Mailing

Express Mail Label No.: ET002270147US

Date of Deposit: February 27, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Colin P. Abrahams
(typed name)


(Signature)

FEE TRANSMITTAL FORM

Total amount of payment: \$424.00

Application Number : Not known
Filing Date : Concurrent
First Named Inventor : Monita LIU
Examiner Name : Not known
Group Art Unit : Not known
Attorney Docket No. : 1150-102.US

METHOD OF PAYMENT

Payment Enclosed:
✓ Check for \$424.00

FEE CALCULATION

1. BASIC FILING FEE

Utility filing fee \$370.00
Design filing fee \$
Plant filing fee \$
Reissue filing fee \$
Provisional filing fee \$

Subtotal (1) \$370.00

2. EXTRA CLAIM FEES

Total: $26 - 20 = 6 \times 9 =$ \$ 54.00

Independent: $3 - 3 = 0 \times 42 =$ \$ 0.00

Multiple \$

Subtotal (2) \$ 54.00

3. ADDITIONAL FEES

Surcharge - late filing fee or oath \$
Surcharge - late provisional filing fee \$
Non-English specification \$
Request for ex parte reexamination \$
Requesting pub of SIR prior to Examiner action \$
Requesting pub of SIR after Examiner action \$
Extension for reply within first month \$
Extension for reply within second month \$
Extension for reply within third month \$
Extension for reply within fourth month \$
Extension for reply within fifth month \$
Notice of Appeal \$
Filing brief in support of appeal \$
Request for oral hearing \$
Petition to institute a public use proceeding \$
Petition to revive - unavoidable \$
Petition to revive - unintentional \$
Utility issue fee (or reissue) \$
Design issue fee \$
Plant issue fee \$
Petitions to the Commissioner \$
Petitions related to provisional applications \$
Submission of Information Disclosure Statement \$
Recording each patent assignment per property \$
Submission after final rejection (37CFR 1.129(a)) \$
Add'l invention examined (37 CFR 1.129(b)) \$
Request for Continued Examination \$
Request for expedited exam - design application \$
Other fee (specify) \$

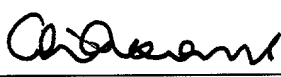
Subtotal (3) \$

Submitted by

Name: Colin P. Abrahams

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Signature: 

Date: February 27, 2002